

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14875-0153US1	Application No. 10/551,504
	Applicant Hiroyuki Tsunoda et al.		
	Filing Date September 29, 2005	Group Art Unit 1647	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	2005/0130224	06/16/2005	Saito et al.			
	2	2007/0281327	12/06/2007	Nakano et al.			
	3	2008/0206229	08/28/2008	Ono et al.			
	4	2008/0274110	11/06/2008	Ozaki et al.			
	5	7,262,278	08/28/2007	Tawara et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	6	EP 1561759	08/10/2005	EPO				
	7	EP 1712565	10/18/2006	EPO				
	8	EP 1 327 680 A1	07/16/2003	EPO				
	9	EP 1369431 A1	12/10/2003	EPO				
	10	EP 1757686	02/28/2007	EPO				
	11	JP11-500916	01/26/1999	Japan			Abstract only	
	12	JP 2004-0866862	03/18/2004	Japan			Abstract only	
	13	WO 96/26648	09/06/1996	WIPO				
	14	WO 03/107218	12/24/2003	WIPO			Abstract only	
	15	WO 05/056602	06/23/2005	WIPO			Abstract only	
	16	WO 05/056603	06/23/2005	WIPO			Abstract only	
	17	WO 05/056605	06/23/2005	WIPO			Abstract only	
	18	WO 05/056798	06/23/2005	WIPO			Abstract only	
	19	WO 05/100560	10/27/2005	WIPO			Abstract only	

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	16	Burrone et al., "Stimulation of HLA-A,B,C by IFN-alpha. The derivation of Molt 4 variants and the differential expression of HLA-A,B,C subsets," <i>The EMBO Journal</i> , 4(11):2855-2860 (1985)
	17	Cangemi et al., "IFN-alpha mediates the up-regulation of HLA class I on melanoma cells without switching proteasome to immunoproteasome," <i>International Immunology</i> , 15(12):1415-1421 (2005)

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	18	CAPLUS Accession Number 2005:547624, 2 pages (2008)
	19	DeJonge et al., "In vivo retargeting of T cell effector function by recombinant bispecific single chain Fv (anti-DC3 x anti-idiotypic) induces long term survival of the murine BCL1 lymphoma model," <i>J. Immunol.</i> , 161(3):1454-1461 (1998)
	20	Kriangkum et al., "Bispecific and bifunctional single chain recombinant antibodies," <i>Biomol. Eng.</i> , 18(2):31-40 (2001)
	21	Kumar et al., "The second PDZ domain of INAD is a type I domain involved in binding to eye protein kinase C. Mutational analysis and naturally occurring variants," <i>J. Biol. Chem.</i> , 276(27):24971-2497 (2001)
	22	Mack et al., "A small bispecific antibody construct expressed as a functional single-chain molecule with high tumor cell cytotoxicity," <i>Proc. Natl. Acad. Sci. USA</i> , 92(15):7021-7025 (1995)
	23	Mallender et al., "Construction, expression and activity of a bivalent bispecific single-chain antibody," <i>J. Biol. Chem.</i> , 269(1):199-206 (1994)
	24	McInnes and Schett, "Cytokines in the pathogenesis of rheumatoid arthritis," <i>Nature Reviews/Immunology</i> , 7:429-442 (2007)
	25	Sal-man et al., "Arginine mutations within a transmembrane domain of Tar, an Escherichia coli aspartate receptor, can drive monodimer dissociation and heterodimer association n vivo," <i>Biochem. J.</i> , 385(1):29-36 (2005)
	26	Scott, "The Problem with Potency," <i>Nature Biotechnology</i> , 23(9):1037-1039 (2005)
	27	Seikomoto et al., "A Single-Chain Fv Diabody Against Human Leukocyte Antigen-A Molecules Specifically Induces Myeloma Cell Death in the Bone Marrow Environment," <i>Cancer Res.</i> , 67(3):1184-1192 (2007)
	28	Sekimoto et al., "Eradication of Human Myeloma Cells by a Recombinant HLA Class I-Specific Single Chain Fv Diabody," <i>Blood</i> , 102:932a, XP009106629 (Abstract #3469) (November 2003) [Abstract of the American Society of Hematology 45 th Annual Meeting, December 6-9, 2003, San Diego, California]
	Sekimoto Ozaki 29	Sekimoto et al., "A Recombinant HLA Class I-Specific Single Chain Fv Diabody Induces Cell Death in Human Lymphoid Malignancies," <i>Blood</i>, 102:933a, XP002987122 (Abstract #3474) (November 2003) [Abstract of the American Society of Hematology 45th Annual Meeting, December 6-9, 2003, San Diego, California]
	30	Stein et al., "Characterization of humanized IgG4 anti-HLA-DR monoclonal antibody that lacks effector cell functions but retains direct antilymphoma activity and increases the potency of rituximab," <i>Blood</i> , 108(8):2736-2744 (2006)

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Examiner Signature /Lorraine Spector/	Date Considered 03/23/2009
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